

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: HORI, et al.
Filed: April 6, 2000
For: LIPID METABOLISM IMPROVING AGENT



INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97 AND 1.98

Assistant Commissioner for Patents
Washington, D. C. 20231

April 6, 2000

Sir:

Pursuant to applicants' duty of disclosure, enclosed please find a List, on a form substantially equivalent to Form PTO-1449, of documents cited in connection with prior application Serial No. 09/106,004, filed June 29, 1998.

Since application Serial No. 09/106,004, filed June 29, 1998, is being replied upon under 35 USC 120 in connection with the above-identified application, copies of the listed documents are not enclosed.


This Information Disclosure Statement is being submitted concurrently with the filing of the above-identified application. Accordingly, requirements of 37 CFR 1.97(b) are satisfied.

In view of all of the foregoing, it is respectfully submitted that all pertinent requirements of 37 CFR 1.97 and 1.98 have been satisfied, in connection with the listed documents. Accordingly, consideration of the listed documents, upon examination of the above-identified application, is respectfully requested.

To the extent necessary, Applicants petition for an extension of time under 37 CFR § 1.136. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to the Deposit Account No. 01-2135 (Case No. 506.35379CC2) and please credit any excess fees to such Deposit Account.

Respectfully submitted,

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WIS/slk
Enclosure

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| Form PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | ATTY. DKT. NO. 506.35379CC2 | SERIAL NO. 09/544632 |
| | APPLICANT MORI, et al. | |
| | FILING DATE April 6, 2000 | GROUP |

U.S. PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Name | Class | Subclass | Filing Date |
|------------------|-----------------|------|------|-------|----------|-------------|
| | AA | | | | | |
| | AB | | | | | |
| | AC | | | | | |
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| | AJ | | | | | |
| | AK | | | | | |
| | AL | | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Country | Class | Subclass | Translation | |
|------------------|-----------------|-----------|---------|-------|----------|-------------|----|
| | | | | | | Yes | No |
| | AM | 61-152632 | 7-86 | Japan | | | |
| | AN | | | | | | |
| | AO | | | | | | |
| | AP | | | | | | |
| | AQ | | | | | | |
| | AR | | | | | | |
| | AS | | | | | | |
| | AT | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|-----------------|----|---|
| | AU | The Dictionary of Modern Medicine, J.C., Segen, Ed. Publishing Gr. P. 280, 394, 1994. |
| | AV | Sugano, J. Nutrit, 120, p. 977, 1990. |
| | AW | Sugano, Atherosclerosis, 72, p. 115-122, 1988. |
| | AX | Williams, Perspectives in Biol. Med., 27, p. 417, 1984. |
| | AY | Sirtori, Ann. Nutr. Metab. 29, p. 348, 1985. |
| | AZ | Nagaoka, Agric. Biol. Chem 3, p. 813, 1991. |
| Examiner | | Date Considered |